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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/829,464	04/22/2004	Noriaki Kodama	249-341

23117
NIXON & VANDERHYE, PC
1100 N GLEBE ROAD
8TH FLOOR
ARLINGTON, VA 22201-4714

CONFIRMATION NO. 6417

FORMALITIES LETTER



OC000000013099719

Date Mailed: 06/29/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Replacement drawings in compliance with 37 CFR 1.84 and 37 CFR 1.121 are required. The drawings submitted are not acceptable because:
 - The drawings must be reasonably free from erasures and must be free from alterations, overwriting, interlineations, folds, and copy marks. See Figure(s) 1-7.
 - The drawing figures contain text that is not in English (including, for example, a flow chart that was originally not in English that has been marked up to include the English text) (see 37 CFR 1.84(p)(2) and 37 CFR 1.52(d)(1)). See Figure(s) 1, 2, 6.

07/28/2004 CNGUYEN 00000075 10829464

01 FC:1001
02 FC:1051

770.00 OP
130.00 OP

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is **\$900** for a Large Entity

- **\$770** Statutory basic filing fee.
- **\$130** Late oath or declaration Surcharge.

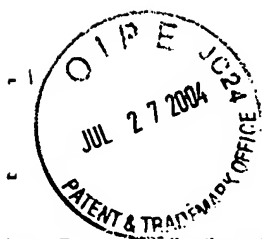
Replies should be mailed to: Mail Stop Missing Parts
 Commissioner for Patents
 P.O. Box 1450
 Alexandria VA 22313-1450

*A copy of this notice **MUST** be returned with the reply.*

2. Amy
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

KODAMA et al.

Serial No. 10/829,464

Filed: April 22, 2004

For: DRY AIR SUPPLY DEVICE

Attention: Application Branch

Atty. Dkt. 249-341

Date: July 27, 2004

Mail Stop Missing Parts

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

The attached completes filing of the above-identified patent application:

☐ **Correspondence Address Indication Form Attached.**

☐ Signed Rule 63 Declaration alone, ☒ Copy of Declaration from prior application alone, OR

☐ Signed Declaration plus attached copy of originally filed specification/drawings.

☒ **NOTICE TO FILE MISSING PARTS OF APPLICATION FILING DATE GRANTED** form.

☒ Record the attached assignment to Nichias Corporation, Tokyo, Japan and return to the undersigned.

☐ Attached is a Power of Attorney.

☒ Priority is hereby claimed under 35 U.S.C. § 119 based on the following foreign applications:

Application Number
2003-121220

Country
Japan

Day/Month/Year Filed
25 April 2003

respectively.

☒ Certified copy(ies) of foreign application(s) is/are attached.

☐ Certified copy(ies) filed on _____ in prior application no. _____, filed _____.

☐ Applicant claims "small entity" status. ☐ "Small entity" statement attached.

☐ Please enter the attached preliminary amendment prior to calculation of filing fee.

☒ Also attached: ☐ **Information Disclosure Statement**; ☐ **Nucleotide and/or Amino Acid Sequence Submission**; ☒ **Other: Submission of Formal Drawings and Five (5) Sheets of Drawings**

Fees due are calculated below:

Basic filing fee				\$	770.00
Total Effective claims	5	- 20 =	0	x \$	18.00
Independent claims	1	- 3 =	0	x \$	86.00
If any proper multiple dependent claims now added for first time, add \$290.00 (ignore improper)				\$	0.00
				FILING FEE	\$ 770.00
Petition is hereby made to extend the current due date so as to cover the filing date of this paper and attachment(s) (\$110.00/1 month; \$420.00/2 months; \$950.00/3 months; \$1480.00/4 months)				\$	
Surcharge (\$130.00) if Declaration or filing fee first now submitted				\$	130.00
English translation of specification and claims (\$130.00)				\$	0.00
				FIRST SUBTOTAL	\$ 900.00
If "small entity," enter half (½) of subtotal and subtract				-\$	0.00
				SECOND SUBTOTAL	\$ 900.00
Assignment Recording Fee (\$40.00)				\$	40.00
				TOTAL FEE ENCLOSED	\$ 940.00

Any future submission requiring an extension of time is hereby stated to include a petition for such time extension. The Commissioner is hereby authorized to charge any deficiency, or credit any overpayment, in the fee(s) filed, or asserted to be filed, or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our **Account No. 14-1140**. A duplicate copy of this sheet is attached.

1100 North Glebe Road, 8th Floor
Arlington, Virginia 22201-4714
Telephone: (703) 816-4000
Facsimile: (703) 816-4100
AMK:js

NIXON & VANDERHYE P.C.
By Atty: Alan M. Kagen, Reg. No. 36,178

Signature: _____

Alan M. Kagen



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

Confirmation No. 6417

KODAMA et al.

Serial No. 10/829,464

Atty Ref.: 249-341

Filed: April 22, 2004

TC/A.U.: 3749

For: DRY AIR SUPPLY DEVICE

Examiner: Not Yet Assigned

* * * * *

July 27, 2004

Mail Stop PGPUB Drawings
Commissioner for Patents
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Alexandria, VA 22313-1450

SUBMISSION OF FORMAL DRAWINGS

Sir:

Enclosed herewith are five (5) sheet(s) of formal, inked drawings for the above-identified application. These drawings are believed to satisfy the substitute drawing requirement set forth in the Notice to File Missing Parts dated June 29, 2004, a copy of which is returned herewith.

Respectfully submitted,

NIXON & VANDERHYE P.C.

By:

Alan M. Kagen
Reg. No. 36,178

AMK:jls
1100 North Glebe Road, 8th Floor
Arlington, VA 22201-4714
Telephone: (703) 816-4000
Facsimile: (703) 816-4100